

# STATE FARM FUNDS SEP AND SIMPLE IRA ACCOUNT APPLICATION

For assistance in completing this application, please contact us at 800–447-0740. Please fax or mail your completed, signed and dated application to: State Farm Funds, P.O. Box 4766, Chicago, IL 60680-4766. Overnight: State Farm Funds c/o Northern Trust, Attn: Funds Center, 333 S Wabash W-38, Chicago IL 60604. Fax: 312-557-3093.

Please print all information.

PROVIDE YOUR INVESTOR INFORMATION		
OWNER'S FIRST NAME	MIDDLE INITIAL	LAST NAME
OWNER'S SOCIAL SECURITY NUMBER (WILL BE USED FOR TAX REPORTING)	OWNER'S DATE OF BIRTH	MOTHER'S MAIDEN NAME
RESIDENTIAL/STREET ADDRESS		
RESIDENTIAL/STREET ADDRESS		
CITY/STATE/ZIP		
TELEPHONE NUMBER (DAYTIME)	TELEPHONE NUMBER (EVENING)	
EMAIL ADDRESS		
Check here if business address	ESIDENTIAL/STREET ADDRESS	
Check here if business address           ACCOUNT MAILING ADDRESS IF DIFFERENT FROM R	ESIDENTIAL/STREET ADDRESS	
Check here if business address	ESIDENTIAL/STREET ADDRESS	
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CITY/STATE/ZIP Check here if business address CITY/STATE/ZIP The USA PATRIOT Act requires that all investors provide a		formation is not provided, there may be a delay

CITY/STATE/ZIP

\_\_\_\_ IS YOUR EMPLOYER A STATE FARM AGENT? 🛛 Yes 🔲 No

NAME OF CONTACT PERSON

TELEPHONE (INCLUDE AREA CODE)

- Check only one
- □ SEP IRA

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□ SIMPLE IRA

## 4 SELECT YOUR FUND

Attach Contribution Remittance Form if making check contribution along with application.

Fund Name	Fund Number	Select Fund Below
State Farm Growth Fund	871	
State Farm Balanced Fund	872	
State Farm Interim Fund	873	

# 5 DIVIDEND AND CAPITAL GAIN DISTRIBUTIONS

Dividend and Capital Gains distributions will be automatically reinvested. In order to request distributions from your IRA account, the IRA Distribution Request Form must be completed.

#### 6 TELEPHONE/ONLINE PRIVILEGES

Privileges to redeem via telephone/online will automatically be established on your account unless you indicate otherwise below:

### **BANK INFORMATION**

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Complete this section if you would like to maintain bank instructions on file for payment of redemptions or distributions. Please attach a preprinted voided check, deposit slips are not accepted.

NAME ON BANK ACCOUNT			
BANK NAME		BANK ADDRESS	
ACCOUNT NUMBER		ROUTING NUMBER	
Checking Account	Savings Account		
ADDITIONAL STATE	MENTS		

Complete this section if you would like duplicate statements of your account information to go to an interested party.

NAME

ADDRESS

CITY/STATE/ZIP

## ELECTRONIC DELIVERY CONSENT

Accept the terms of the Electronic Delivery Consent Agreement below:

- I agree to receive the following documents through electronic delivery rather than in paper format: all current and future shareholder and fund information including, but not limited to, statements, confirmations, tax forms, the funds' semi-annual and annual reports, prospectuses, proxy statements and newsletters. When the document(s) are available, State Farm will send a message to your Email address instructing how you may access your document(s).
- 2. This consent to receive documents electronically is effective until you withdraw it.
- 3. You can withdraw your consent to receive documents electronically at any time by calling or writing State Farm Funds.
- 4. You can obtain paper copies of electronic documents free of charge at any time by calling or writing State Farm Funds.
- 5. You may incur additional costs (for example, printing) and possible risks (for example, system outages) associated with electronic delivery.

By providing my email address below, I consent to this agreement.

E-MAIL ADDRESS

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# 10 BENEFICIARY DESIGNATION

Upon my death, the beneficiary(ies) of my IRA shall be:

1						
BENEFICIARY NAME/ADDRESS						
DATE OF BIRTH		SOCIAL SECURITY NUMBER	RELATIONSHIP			
		SHARE %				
2						
BENEFICIARY NA	ME/ADDRESS					
DATE OF BIRTH		SOCIAL SECURITY NUMBER	RELATIONSHIP			
		SHARE %				
3						
BENEFICIARY NA	ME/ADDRESS					
DATE OF BIRTH		SOCIAL SECURITY NUMBER	RELATIONSHIP			
		SHARE %				
4						
BENEFICIARY NA	ME/ADDRESS					
DATE OF BIRTH		SOCIAL SECURITY NUMBER	RELATIONSHIP			
		SHARE %				
5						
BENEFICIARY NAME/ADDRESS						
DATE OF BIRTH		SOCIAL SECURITY NUMBER	RELATIONSHIP			
		SHARE %				

### 10 BENEFICIARY DESIGNATION (continued)

If neither primary nor contingent is indicated, the designated beneficiary will be deemed to be a primary beneficiary. If more than one primary beneficiary is designated and no distribution percentages are indicated, the beneficiaries will be deemed to own equal share percentages. Multiple contingent beneficiaries with no share percentage indicated will also be deemed to share equally.

If any primary or contingent beneficiary predeceases me, his or her interest and the interest of his or her heirs shall terminate completely, and the percentage share of any remaining beneficiary(ies) shall be increased on a pro rata basis. If no primary beneficiary(ies) survives me, the contingent beneficiary(ies) shall acquire the designated share of my IRA.

### TRUSTED CONTACT INFORMATION (OPTIONAL)

In conjunction with FINRA Rule 2165 and FINRA Rule 4512, we are seeking to obtain the name and contact information for a "trusted contact" person for shareholder accounts. We could reach out to the "trusted contact" if, for example, we were unable to contact you after multiple attempts, or if you became subject to a disability, or we had reason to believe that you were being abused or exploited by a third party.

If you choose to provide information about a trusted contact person, you agree that the trusted contact you have listed below may be contacted by the firm about your account. You are also agreeing that the firm, or an associated person of the firm, is authorized to contact the trusted contact, and disclose information about your account, to address possible financial exploitation, to confirm the specifics of your current contact information, health status, or the identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by FINRA Rule 2165. You are not required to provide a trusted contact person to us.

Please note, assigning a trusted contact does not give the trusted contact any discretionary authority over your account; accordingly, the individual you list, on the basis of being listed as a trusted contact, will not be able to make purchases, effectuate sales or disbursements, or conduct any other activity.

TRUSTED CONTACT NAME

ADDRESS

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CITY/STATE/ZIP/COUNTRY

RELATIONSHIP TO OWNER (OPTIONAL)

TELEPHONE

EMAIL ADDRESS

# 12 SIGN YOUR NAME

All account owners or trustees must sign below. Please sign exactly as your name appears in Section 1.

- I am of legal age and have received and read the current prospectus for the funds I am investing in.
- I understand that shares of the funds are not insured or guaranteed by the FDIC or any other governmental agency.
- Federal Law requires the Funds to obtain, verify and record identifying information, which may include the name, residential or business street address,taxpayer identification number, or other identifying information, for each investor who opens an account. Applications without the required information, or without an indication and supporting documentation showing that a taxpayer identification number has been applied for, may not be accepted. After acceptance, the Funds reserve the right to (1) place limits on transactions in any account until the identify of the investor is verified; or

(2) refuse an investment in the Funds; or (3) redeem shares and close an account in the event that an investor's identify is not verified. The Asset Management Fund and its agents will not be responsible for any loss resulting from the investor's delay in providing all required information or from restricting transactions or closing an account when an investor's identity is not verified.

• Under penalties of perjury, I certify that (1) the number shown on this form is my correct taxpayer identification number or I am waiting for a number to be used to me and (2) I am not subject to backup withholding because (a) I am exempt from backup withholding or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or (c) the IRS has notified me that I am no longer subject to backup withholding; and (3) I am a US person and (4) I am exempt from FATCA reporting. Cross out item 2 if it does not apply to you. The IRS does not require your consent to any provision on this application other than the certification required to avoid backup withholding.

I adopt this IRA, appointing The Northern Trust Company to act as Custodian, and to perform administrative services. I have received and read and understand the IRA Custodial Agreement and Disclosure Statement. I understand that the Custodian may charge fees to which reference is made in the Disclosure Statement and they may be separately billed or collected by redeeming sufficient shares from each portfolio account balance. I will supply the IRS with information as to any taxable year required unless filed by the Custodian.

I have read, and I accept the Custodial Agreement herin, by reference. I appoint The Northern Trust Company, or its successors, as Custodian of the accounts. I may revoke this IRA at any time.

SIGNATURE

PRINTED NAME

DATE

THE NORTHERN TRUST COMPANY CUSTODIAN NAME